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February 6, 2004
Date Mailed

Christina Fernandez
Name

Christina Fernandez February 6, 2004
Signature Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew J. Kuzma
Serial No.: 10/659,898
Filed: September 11, 2003
For: WAFER-LEVEL TEST
STRUCTURE FOR EDGE-
EMITTING
SEMICONDUCTOR LASERS
Docket No.: 42.P13639D

Examiner: Not Yet Assigned

Art Unit: 2812

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

37 C.F.R. §§ 1.56, 1.97, 1.98

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449. Applicant respectfully requests that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant. Copies of the references listed on Form PTO-1449 are enclosed.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. Additionally, as to any reference supplied applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following:

☒ 37 C.F.R. §1.97(b).

☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

☐ A statement pursuant to 37 C.F.R. §1.97(e) as follows:

☐ Each item of information contained in this information disclosure statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

☐ No item of information contained in this information disclosure statement (IDS) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the IDS.

☐ A check for the fee required under 37 C.F.R. §1.17(p) for submission of the IDS.

☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are both of the following

☐ A statement pursuant to 37 C.F.R. §1.97(e) as follows:

☐ Each item of information contained in this information disclosure statement (IDS) was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS.

☐ No item of information contained in this information disclosure statement (IDS) was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the IDS.

☐ A check for the fee required under 37 C.F.R. §1.17(p) for submission of the IDS.

Charge Deposit Account

Please charge our Deposit Account No. 02-2666 for any additional fee(s) that may be due in this matter, and please credit the same deposit account for any overpayment.

Respectfully submitted,

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Date: 2-6-04



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Enclosures: Postcard
Form PTO-1449
References (2)

